

13281
U.S.P.O.

UTILITY

PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 0125-143

First Inventor Victor J. Yodaiken

Title Systems And Methods For Detecting A Security Breach In A Computer System

031088 U.S. PTO
10/733469

121203

Applicant for Published Patent Application: Finite State Machine Labs, Inc.
Socorro, New Mexico

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. Applicant claims small entity status.
3. Specification Total pages [24]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross references to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
4. Drawing(s) (35 USC 113) (Total Sheets) [5]
5. Oath or Declaration (Total Pages) [2]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application
(37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]

i **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application,
6. Application Data Sheet.

ADDRESS TO: Assistant Commissioner of Patents
Box Patent Application
Washington, D.C. 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program
8. Nucleotide and/or Amino Acid Sequence Submission
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement
(when there is an assignee)
 - Power of Attorney
 - English Translation Document *(if applicable)*
 - Information Disclosure Statement /PTO 1449
 - Copies of IDS Citations
 - Preliminary Amendment
 - 14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
 - 15. Certified Copy of Priority Document(s).
 - 16. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
 - 17. Other:

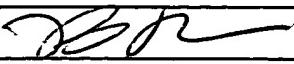
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76::

Continuation Divisional Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner _____ Group/Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

Customer Number or Bar Code Label 6449

| | | | | | |
|-----------|---|-----------|--------------|----------|--------------|
| Address | Rothwell, Figg, Ernst & Manbeck Suite 800, 1425 K Street, N.W. | | | | |
| City | Washington | State | D.C. | Zip Code | 20005 |
| Country | U.S.A. | Telephone | 202-783-6040 | Fax | 202-783-6031 |
| Name | Brian S. Rosenbloom, Reg. No. 41,276 | | | | |
| Signature |  | | | Date | 12/12/03 |

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U.S.PTO

FEE TRANSMITTAL
for FY 2003
(Large Entity)

| | | Complete if Known | |
|-------------------------|------------|------------------------|--------------------|
| | | Application Number | New application |
| | | Filing Date | Herewith |
| | | First Named Inventor | Victor J. Yodaiken |
| | | Examiner Name | To be assigned |
| | | Group Art Unit | To be assigned |
| Total Amount of Payment | (\$810.00) | Attorney Docket Number | 0125-143 |

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
- Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- Applicant claims small entity status
2. Payment Enclosed
 - Check
 - Credit Card

FEES CALCULATION**1. FILING FEE**

| Fee | Fee | Fee Description | Fee Paid |
|------|-----|------------------------|-----------|
| 1001 | 770 | Utility filing fee | [770.00] |
| 1002 | 340 | Design Filing Fee | [] |
| 1003 | 530 | Plant Filing Fee | [] |
| 1004 | 770 | Reissue Filing Fee | [] |
| 1005 | 160 | Provisional Filing Fee | [] |

SUBTOTAL \$770.00

2. CLAIMS

| Paid | Extra Claims | Fee | Fee |
|---------------------------|------------------------------------|-----|-----|
| Total Claims | [3] - 20** = [] x \$18 = [0.00] | | |
| Independent | | | |
| Claims | [3] - 3** = [] x 86 = [0.00] | | |
| Multiple Dependent Claims | + 290 = [] | | |

**or number previously paid, if greater;

SUBTOTAL \$

FEES CALCULATION (continued)**3. ADDITIONAL FEES**

| Fee Code | Fee Paid | Fee Description | Fee Paid |
|-------------------------|----------|--|----------|
| 1051 | 130 | Surcharge - late filing fee or oath | [] |
| 1052 | 50 | Surcharge - late provisional filing fee or cover sheet | [] |
| 1053 | 130 | Non-English specification | [] |
| 1812 | 2,520 | For filing a request for reexamination | [] |
| 1804 | 920 | Requesting publication of SIR prior to Examiner action | [] |
| 1804 | 1,840* | Requesting publication of SIR after Examiner action | [] |
| 1251 | 110 | Extension for reply within first month | [] |
| 1252 | 420 | Extension for reply within second month | [] |
| 1253 | 950 | Extension for reply within third month | [] |
| 1254 | 1,480 | Extension for reply within fourth month | [] |
| 1255 | 2,010 | Extension for reply within fifth month | [] |
| 1401 | 330 | Notice of Appeal | [] |
| 1402 | 330 | Filing a brief in support of an appeal | [] |
| 1403 | 290 | Request for Oral Hearing | [] |
| 1451 | 1,510 | Petition to institute a public use proceeding | [] |
| 1452 | 110 | Petition to revive -unavoidable | [] |
| 1453 | 1,330 | Petition to revive - unintentional | [] |
| 1501 | 1,330 | Utility issue fee (or reissue) | [] |
| 1502 | 480 | Design issue fee | [] |
| 1503 | 640 | Plant issue fee | [] |
| 1460 | 130 | Petitions to the Commissioner | [] |
| 1807 | 50 | Processing fee under 37 CFR 1.17(q) | [] |
| 1806 | 180 | Submission of Information Disclosure Statement | [] |
| 8021 | 40 | Recording each patent assignment per property (times number of properties) | [40.00] |
| 1809 | 770 | Filing a submission after final rejection (37 CFR .129(a)) | [] |
| 1810 | 770 | For each additional invention to be examined (37 CFR 1.129(b)) | [] |
| 1801 | 770 | Request for Continued Examination (RCE) | [] |
| 1802 | 900 | Request for expedited examination of a design application | [] |
| 1504 | 300 | Publication fee for early, voluntary, or normal publication | [] |
| 1505 | 300 | Publication fee for republication | [] |
| 1455 | 200 | Filing application for patent term adjustment | [] |
| 1456 | 400 | Request for reinstatement of term reduced | [] |
| Other fee (specify) [] | | | |

* Reduced by Basic Filing Fee Paid

SUBTOTAL

\$40.00

| SUBMITTED BY | | Complete (if applicable) | | |
|----------------------|---|--------------------------|----------|-------------------------|
| NAME AND REG. NUMBER | Brian S. Rosenbloom, Reg. No. 41,276 | | | |
| SIGNATURE |  | DATE | 12/12/03 | DEPOSIT ACCOUNT USER ID |